



2812

**PATENT APPLICATION**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

Docket No: Q66482

Naoyoshi CHINO, et al.

Appln. No.: 09/972,964✓

Group Art Unit: 2812

Confirmation No.: 6263

Examiner: Christopher W. LATTIN

Filed: October 10, 2001

For: TRANSFER APPARATUS

**INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified Application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

1. US 5,970,215, Published: 10-19-1999, Applicant: STEPHENSON
2. US 4,334,734, Published: 06-15-1982, Applicant: HARENG, et al.
3. JP 58193522, Published: 11-11-1983, Applicant: SEIKO EPSON CORP.
4. JP 58193175, Published: 11-10-1983, Applicant: SEIKO EPSON CORP.
5. JP 02062254, Published: 03-02-1990, Applicant: SEIKO EPSON CORP.

INFORMATION DISCLOSURE STATEMENT

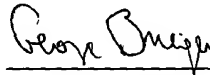
U.S. Appln. No.: 09/972,964

6. JP 63168373, Published: 07-12-1988 Applicant: FUJI PHOTO FILM CO., LTD.

The present Information Disclosure Statement is being filed after the later of three months from the Application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes prosecution in the Application (whichever is earlier), and therefore Applicant is filing concurrently herewith a Statement Under 37 C.F.R. § 1.97(e). No fee under 37 C.F.R. § 1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present Application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present Application.

Respectfully submitted,



George Brieger  
Registration No. 52,652

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

**23373**

CUSTOMER NUMBER

Date: August 4, 2003



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**STATEMENT UNDER 37 C.F.R. § 1.97(e)**

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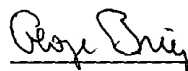
Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign Application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

  
George Brieger  
Registration No. 52,652

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Substitute for Form 1449 A & B/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT			Application Number	09/972,964	
(use as many sheets as necessary)			Confirmation Number	6263	
			Filing Date	October 10, 2001	
			First Named Inventor	Naoyoshi CHINO	
			Art Unit	2812	
			Examiner Name	Christopher W. LATTIN	
Sheet	1	of	1	Attorney Docket Number	Q66482

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
		Number	Kind Code <sup>2</sup> (if known)		
		US 5,970,215		10-19-1999	STEPHENSON
		US 4,334,734		06-15-1982	HARENG, et al.
		US			
		US			
		US			
		US			
		US			
		US			
		US			

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Translation <sup>6</sup>
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)			
		JP	58193522		11-11-1983	SEIKO EPSON CORP.	Abstract
		JP	58193175		11-10-1983	SEIKO EPSON CORP.	Abstract
		JP	02062254		03-02-1990	SEIKO EPSON CORP.	Abstract
		JP	63168373		07-12-1988	FUJI PHOTO FILM CO., LTD.	Abstract

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city, and/or country where published.	Translation <sup>6</sup>

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kind Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov), MPEP 901.04 or in the comment box of this document. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to indicate here if English language Translation is attached.